# Contact: Marcia Brunson and Diana Gonzalez (faculty resignations) 

## COMPREHENSIVE HUMAN RESOURCES REPORT

Action Requested: Receive the report and ratify the additions and revisions to the Regent Merit System classification plan as detailed below.

Executive Summary: This is a newly formulated report. It combines a number of previous annual governance reports: Regent Merit System, Fringe Benefits including sick and vacation leave, Salary, Faculty Resignations, Faculty Salary Comparisons, and Retirement. Each component of the report is summarized below. More detail relating to these annual governance reports may be found in the complete Comprehensive Human Resources Report available an attachment to this memorandum on the Board's website or in the Regent Exhibit Book available at meeting.

## Regent Merit System - FY 2005

As of June 30, 2005, there were 7,874 employees in the Regent Merit System. Approximately 90\% of these employees are in AFSCME bargaining units (Blue Collar, Security, Technical and Clerical). The remaining employees are either supervisory or designated as confidential as per the lowa Code, Chapter 20. Overall in the merit system about 7\% of the employees are minority ( $8 \%$ at SUI, $4 \%$ at ISU; $9 \%$ at UNI; 13\% at ISD and $1.2 \%$ at IBSSS). Approximately 70\% (292) of the transfers during the year were contract transfers pursuant to the AFSCME collective bargaining agreement. There were 482 resignations, 113 retirements, and 47 dismissals for cause.

Overall, average FY 2005 salary for merit system at the five institutions was $\$ 34,466$.
The Board is asked to ratify the following revisions and additions to the classification:
Medical Assistant I and II
Clinical Technician I, II and III
Veterinary Teaching Hospital Assistant I and II
In accordance with the administrative rules, 189 requests for classification reviews were filed. 165 of these requests resulted in reclassifications to a different classification; 130 to a classification in a higher pay grade. Of these 189 classification decisions, eight appeals were filed. In six of the appeals, the decisions of the Merit System Director were upheld by the appeal committee.

Sick and Vacation Leave - FY 2005
Permanent employees of the State of lowa earn $1 \frac{1}{2}$ days of sick leave per month. Unused sick leave is carried forward each year. Upon retirement, an employee receives payment for the employee's sick leave balance, to a maximum of $\$ 2,000$. Regent employees used 161,100 days of sick leave in FY 2005 at a cost of $\$ 26.8$ million. Average usage per employee was 6.8 days. Average usage in FY 2004 was 6.4 days. Average usage for faculty was 1.46 days; $\mathrm{P} \& \mathrm{~S}-6.68$ days; and Merit - 10.11 days.

Employees in the Regent Merit System earn vacation leave based on years of service $-1^{\text {st }}$ through $4^{\text {th }}$ year -2 weeks; $5^{\text {th }}$ through $11^{\text {th }}-3$ weeks; $12^{\text {th }}$ through $19^{\text {th }}-4$ weeks; $20^{\text {th }}$ through $24^{\text {th }}-4.4$ weeks; and $25^{\text {th }}$ and beyond -5 weeks. Full-time P\&S staff and 12-month faculty accrue vacation at the rate of 22 working days (plus two unscheduled holidays) per year.

Full time staff hired after July 1, 1999, in the SEIU bargaining unit at the University of lowa acrrue vacation on an increasing scale beginning with 120 hours in the first three years of employment to a maximum of 192 hours after six years of employment.

Average vacation use per employee was 17.3 days in FY 2005. FY 2004 average was 16.5 days.
In addition to vacation leave, Regent employees receive nine paid holidays.
Fringe Benefits - FY 2005
The Regent institutions spent $\$ 381$ million for insurance and retirement for faculty and staff during FY 2005. Institutional costs as a percent of payroll were SUI - 27\%; ISU - 29\%; UNI - 33\%; ISD $36 \%$; and IBSSS - 35\%.

Employees of the Board of Regents are covered by Social Security and Medicare. Social Security contribution is $6.2 \%$ for both the employer and the employee to a calendar year 2006 salary maximum of $\$ 94,200$. Medicare contribution is $1.45 \%$ on all salary. Employees may select to participate either in IPERS (a defined benefit program) or TIAA-CREF (a defined contribution program) or a qualified substitute. About 130 employees at ISU are covered by federal retirement. Approximately 23,518 employees participate in TIAA-CREF. Employer contribution to TIAA-CREF is $10 \%$, and the employee contributes $5 \%$. In total the institutions contributed \$116 million to TIAA-CREF.

The institutions provide employees with life insurance, accidental death and dismemberment and long term disability insurance.

Each university offers health and dental insurance programs for its faculty, P\&S staff, and nonorganized merit staff. The AFSCME covered employees at the universities and all employees of the special schools participated in the state health and dental insurance programs. In total, the universities' cost to provide health insurance coverage to employees in FY 2005 was $\$ 132$ million which is an increase of approximately $11 \%$ from last year.

## Retirement Report - FY 2005

In addition to regular retirement either through IPERS or TIAA-CREF, faculty and staff may retire by participating in the phased retirement program. The phased retirement program was first approved by the Board in 1982. With approval of the institutional administration, faculty and staff may request participation in phased retirement at age 57 with at least 15 years of service. Merit system employees must be age 60 and have at least 20 years of service. Through the program, employees reduce their appointments to no greater than $65 \%$ and no less than $50 \%$. A normal phasing period is five years and during the first four years, the participant's salary reflects the actual time worked plus an additional $10 \%$ incentive. Benefits in the first four years are paid as if the employee were fulltime. The current phased retirement program expires on June 30, 2007. Later in the spring, the Board will be asked to consider renewing it.

There were 56 new participants in phased retirement in FY 2005 with a total of 134 currently active. A total of 666 faculty have participated in the program since its inception in 1982.

The cost of phased retirement incentives was $\$ 776,062$. Approximately $\$ 3.1$ million was released through operation of the phased retirement program. These funds were used in a variety of ways at the universities with most going toward either replacement personnel or reallocations to fund other areas of need.

During FY 2005, 322 faculty and staff left the institutions through regular retirement.

## Faculty Resignation Report - FY 2005

At the Regent universities, there were 142 faculty resignations, an increase of 27 (+23.5\%) in FY 2005 from the prior year. At the special schools, there were five faculty resignations, a decrease of four ( $-44.4 \%$ ) in FY 2005 from the prior year. The Regent universities obtained information about the faculty who resigned through a variety of efforts, including resignation surveys; exit interviews; satisfaction/climate assessment surveys; and payroll reports.

The numbers of faculty resignations include only those faculty members who were tenured, tenuretrack, or clinical track.

- At the University of lowa, the number of faculty resignations increased from 63 to 77 (+22.2\%) between FY 2004 and FY 2005. This is a faculty turnover rate of approximately 3.7\%.
- At Iowa State University, the number of faculty resignations decreased from 42 to 37 (-11.9\%) between FY 2004 and FY 2005. This is a faculty turnover rate of approximately 2.8\%.
- At the University of Northern Iowa, the number of faculty resignations increased from 10 to 28 (+180.0\%) between FY 2004 and FY 2005. This is a faculty turnover rate of approximately 4.8\%.
- At the lowa School for the Deaf, the number of faculty resignations remained the same (two) between FY 2004 and FY 2005.
- At the Iowa Braille and Sight Saving School, the number of faculty resignations decreased from seven to three (-57.1\%) between FY 2004 and FY 2005.

Table 1 provides a summary of the faculty resignations at the universities. More detailed tables are provided in the full report in the Regent Exhibit Book beginning on page 32.

## Salary Report - FY 2006

Average faculty and professional and scientific staff increases for FY 2006 are shown below:

|  | Faculty | P\&S |
| :--- | :---: | :---: |
| SUI | $3.4 \%$ | $4.5 \%{ }^{*}$ |
| ISU | $3.6 \%$ | $3.7 \%$ |
| UNI | $2.5 \%$ | $2.5 \%$ |
| ISD | $3.7 \%$ | $4.8 \%$ |
| IBSSS | $5.3 \%$ | $4.5 \%$ |

*excludes tertiary care unit members (SEIU) - average increase for this
was 4.3\%

Average salaries for faculty and professional scientific are as follows:

| FACULTY* | Overall Average | Male Average | Female Average |
| :--- | :---: | :---: | :---: |
| SUI | $\$ 81,393$ | $\$ 88,032$ | $\$ 69,689$ |
| ISU | $\$ 74,390$ | $\$ 79,786$ | $\$ 61,952$ |
| UNI | $\$ 62,938$ | $\$ 66,406$ | $\$ 58,103$ |

*Excludes salaries of the professional colleges of Medicine, Dentistry, and Law at SUI and Veterinary
Medicine and faculty associated with the Agricultural Experiment Station and Cooperative Extension Service at ISU

| P\&S | Overall Average | Male Average | Female Average |
| :--- | :---: | :---: | :---: |
| SUI | $\$ 53,111$ | $\$ 58,251$ | $\$ 49,357$ |
| ISU | $\$ 52,804$ | $\$ 56,850$ | $\$ 48,788$ |
| UNI | $\$ 52,420$ | $\$ 57,260$ | $\$ 48,336$ |

## Faculty Salary Comparisons

For many years, the universities have used Board-designated peer groups to make comparisons in several areas such as tuition and fees, residence system rates, and salaries. Each peer group has 10 institutions which were deemed by the Board to be comparable. These institutions were public universities in Minnesota, Illinois, Indiana, Ohio, Arizona, California, Michigan, North Carolina, Texas, and Wisconsin.

In order to get a broader comparison for faculty salaries, the ISU and SUI groups were expanded last year to include 57 AAU institutions in the AAU Data Exchange (AAUDE). This group includes the original designated institutions with the exception of one - North Carolina State University which was designated as an ISU peer institution. (See Tables 2 and 3).

The University of Northern Iowa expanded its listing to include those institutions in the Education Trust. The salaries for these institutions are those that are published by the AAUP in its annual faculty salary survey. (See Table 4).

The Education Trust was established in 1990 by the American Association of Higher Education as a special project to encourage colleges and universities to support K-12 reform efforts. Since then, the Education Trust has grown into an independent nonprofit organization. Its mission is to make schools and colleges work for all the young people they serve. The definition of the UNI peer institution as per the Education Trust Report is "competitive student selectivity, masters-degree granting, between 5,000 and 14,000 students, and a median SAT score between 1,000 and 1,100.

Tables 5 (SUI) and 6 (ISU) compare average salaries as a percent of the respective peer group averages.

Table 7 provides comparison data relating to the salaries in the University of lowa College of Medicine.

Table 1

|  | Faculty |  | Resignations |  |  |
| :--- | ---: | ---: | ---: | ---: | ---: |
|  | Number | Percent of <br> University <br> Total |  | Number | Percent Of <br> Total <br> Total |
| College |  |  |  |  |  |
| Faculty |  |  |  |  |  |$|$

Table 2
Average Faculty Salaries by Academic Rank, FY2005
University of Iowa and AII AAU Public and Private Universities (Peers Adjusted to SUI Rank Distribution)

|  | Professor |  |  | Associate Professor |  |  | Assistant Professor |  |  | Combined |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Institution | Average Salary | N | Salary <br> Ranking | Average Salary | N | Salary Ranking | Average Salary | N | Salary <br> Ranking | Average Salary | N | Salary Ranking |
| Harvard | 163,200 | 514 | 1 | 92,300 | 360 | 6 | 82,900 | 263 | 2 | 122,200 | 1,137 | 1 |
| Cal Tech | 145,700 | 514 | 4 | 103,300 | 360 | 1 | 93,300 | 263 | 1 | 120,200 | 1,137 | 2 |
| Stanford | 148,500 | 514 | 3 | 103,000 | 360 | 2 | 82,000 | 263 | 3 | 118,700 | 1,137 | 3 |
| Princeton | 151,100 | 514 | 2 | 95,500 | 360 | 3 | 73,400 | 263 | 15 | 115,500 | 1,137 | 4 |
| Columbia | 140,400 | 514 | 6 | 94,500 | 360 | 4 | 74,800 | 263 | 11 | 110,700 | 1,137 | 5 |
| Northwestern | 136,300 | 514 | 8 | 90,700 | 360 | 8 | 79,300 | 263 | 6 | 108,700 | 1,137 | 6 |
| M.I.t. | 135,000 | 514 | 9 | 91,000 | 360 | 7 | 79,500 | 263 | 5 | 108,200 | 1,137 | 7 |
| Yale | 145,600 | 514 | 5 | 82,100 | 360 | 16 | 69,400 | 263 | 21 | 107,900 | 1,137 | 8 |
| Cornell - Endowed | 131,100 | 514 | 12 | 92,900 | 360 | 5 | 80,600 | 263 | 4 | 107,300 | 1,137 | 9 |
| New York Univ. \# | 138,100 | 514 | 7 | 85,400 | 360 | 10 | 73,700 | 263 | 13 | 106,500 | 1,137 | 10 |
| Duke | 131,200 | 514 | 11 | 89,500 | 360 | 9 | 75,500 | 263 | 7 | 105,100 | 1,137 | 11 |
| Emory | 131,900 | 514 | 10 | 84,300 | 360 | 14 | 74,500 | 263 | 12 | 103,600 | 1,137 | 12 |
| Wash Univ - St. Louis | 128,400 | 514 | 13 | 85,100 | 360 | 11 | 72,400 | 263 | 16 | 101,700 | 1,137 | 13 |
| Southern Cal \# | 123,800 | 514 | 15 | 84,600 | 360 | 12 | 73,700 | 263 | 13 | 99,800 | 1,137 | 14 |
| Rice | 123,700 | 514 | 16 | 83,400 | 360 | 15 | 75,000 | 263 | 10 | 99,700 | 1,137 | 15 |
| Carnegie Mellon | 118,400 | 514 | 21 | 84,500 | 360 | 13 | 75,200 | 263 | 8 | 97,700 | 1,137 | 16 |
| Brown | 123,100 | 514 | 18 | 78,400 | 360 | 22 | 69,700 | 263 | 20 | 96,600 | 1,137 | 17 |
| Cal - Berkeley | 121,800 | 514 | 19 | 77,700 | 360 | 25 | 71,300 | 263 | 18 | 96,200 | 1,137 | 18 |
| Vanderbilt | 123,900 | 514 | 14 | 79,000 | 360 | 20 | 65,000 | 263 | 29 | 96,100 | 1,137 | 19 |
| Michigan | 120,200 | 514 | 20 | 81,600 | 360 | 17 | 67,100 | 263 | 23 | 95,700 | 1,137 | 20 |
| Cal - Los Angeles | 123,300 | 514 | 17 | 78,100 | 360 | 23 | 65,500 | 263 | 28 | 95,600 | 1,137 | 21 |
| Virginia | 118,100 | 514 | 22 | 78,100 | 360 | 23 | 64,100 | 263 | 33 | 92,900 | 1,137 | 22 |
| Cornell - Contract | 109,700 | 514 | 33 | 80,700 | 360 | 18 | 72,000 | 263 | 17 | 91,800 | 1,137 | 23 |
| Maryland | 111,000 | 514 | 29 | 76,300 | 360 | 29 | 75,200 | 263 | 8 | 91,700 | 1,137 | 24 |
| Case Western \# | 112,300 | 514 | 27 | 79,800 | 360 | 19 | 66,800 | 263 | 25 | 91,500 | 1,137 | 25 |
| North Carolina | 112,700 | 514 | 25 | 77,200 | 360 | 27 | 65,800 | 263 | 27 | 90,600 | 1,137 | 26 |
| Rutgers | 112,900 | 514 | 24 | 77,700 | 360 | 25 | 62,800 | 263 | 40 | 90,200 | 1,137 | 27 |
| Illinois | 111,800 | 514 | 28 | 75,100 | 360 | 32 | 68,200 | 263 | 22 | 90,100 | 1,137 | 28 |
| Penn St | 112,600 | 514 | 26 | 75,400 | 360 | 31 | 64,000 | 263 | 34 | 89,600 | 1,137 | 29 |
| Rochester | 105,800 | 514 | 38 | 76,400 | 360 | 28 | 70,200 | 263 | 19 | 88,300 | 1,137 | 31 |
| SUNY - Stony Brook | 107,000 | 514 | 37 | 78,800 | 360 | 21 | 64,600 | 263 | 32 | 88,300 | 1,137 | 30 |
| Cal - San Diego | 113,800 | 514 | 23 | 70,600 | 360 | 44 | 62,300 | 263 | 42 | 88,200 | 1,137 | 32 |
| Pittsburgh | 109,800 | 514 | 32 | 74,000 | 360 | 33 | 63,600 | 263 | 35 | 87,800 | 1,137 | 33 |
| Texas | 109,900 | 514 | 31 | 70,300 | 360 | 47 | 66,900 | 263 | 24 | 87,400 | 1,137 | 34 |
| SUNY - Buffalo | 107,700 | 514 | 36 | 75,700 | 360 | 30 | 61,400 | 263 | 44 | 86,900 | 1,137 | 35 |
| Ohio State | 108,400 | 514 | 35 | 72,100 | 360 | 39 | 64,800 | 263 | 30 | 86,800 | 1,137 | 36 |
| Cal-Irvine | 108,500 | 514 | 34 | 71,600 | 360 | 40 | 63,300 | 263 | 37 | 86,400 | 1,137 | 37 |
| Cal - Santa Barbara | 110,600 | 514 | 30 | 68,400 | 360 | 54 | 61,000 | 263 | 47 | 85,800 | 1,137 | 38 |
| Minnesota | 105,400 | 514 | 39 | 70,700 | 360 | 41 | 62,500 | 263 | 41 | 84,500 | 1,137 | 39 |
| Tulane \# | 102,800 | 514 | 41 | 73,500 | 360 | 35 | 61,300 | 263 | 45 | 83,900 | 1,137 | 40 |
| Brandeis | 99,300 | 514 | 48 | 73,300 | 360 | 37 | 66,500 | 263 | 26 | 83,500 | 1,137 | 41 |
| Michigan State | 101,800 | 514 | 43 | 73,700 | 360 | 34 | 59,700 | 263 | 51 | 83,200 | 1,137 | 42 |
| Colorado | 100,600 | 514 | 46 | 72,700 | 360 | 38 | 63,000 | 263 | 38 | 83,100 | 1,137 | 43 |
| Iowa | 102,800 | 514 | 41 | 69,100 | 360 | 50 | 61,700 | 263 | 43 | 82,600 | 1,137 | 44 |
| Indiana | 101,800 | 514 | 43 | 70,700 | 360 | 41 | 61,300 | 263 | 45 | 82,600 | 1,137 | 45 |
| Cal-Davis | 103,300 | 514 | 40 | 68,800 | 360 | 53 | 60,700 | 263 | 48 | 82,500 | 1,137 | 46 |
| Purdue | 100,700 | 514 | 45 | 70,600 | 360 | 44 | 62,900 | 263 | 39 | 82,400 | 1,137 | 47 |
| Wisconsin | 97,800 | 514 | 50 | 73,400 | 360 | 36 | 63,600 | 263 | 35 | 82,200 | 1,137 | 48 |
| Texas A\&M | 100,200 | 514 | 47 | 70,300 | 360 | 47 | 60,600 | 263 | 49 | 81,600 | 1,137 | 49 |
| Washington | 98,100 | 514 | 49 | 70,200 | 360 | 49 | 64,700 | 263 | 31 | 81,500 | 1,137 | 50 |
| Florida | 96,000 | 514 | 51 | 69,100 | 360 | 50 | 59,500 | 263 | 54 | 79,000 | 1,137 | 51 |
| Syracuse | 94,500 | 514 | 53 | 70,700 | 360 | 41 | 59,600 | 263 | 52 | 78,900 | 1,137 | 52 |
| Arizona | 95,900 | 514 | 52 | 67,200 | 360 | 56 | 59,800 | 263 | 50 | 78,500 | 1,137 | 53 |
| Iowa State | 93,300 | 514 | 56 | 70,600 | 360 | 44 | 59,600 | 263 | 52 | 78,300 | 1,137 | 54 |
| Nebraska | 93,900 | 514 | 55 | 68,100 | 360 | 55 | 57,600 | 263 | 56 | 77,300 | 1,137 | 55 |
| Missouri | 94,300 | 514 | 54 | 65,900 | 360 | 57 | 53,500 | 263 | 59 | 75,900 | 1,137 | 56 |
| Toronto | 87,900 | 514 | 58 | 69,100 | 360 | 50 | 58,700 | 263 | 55 | 75,200 | 1,137 | 57 |
| Kansas | 92,400 | 514 | 57 | 65,200 | 360 | 58 | 54,500 | 263 | 57 | 75,000 | 1,137 | 58 |
| Oregon | 83,600 | 514 | 59 | 59,000 | 360 | 59 | 54,400 | 263 | 58 | 69,100 | 1,137 | 59 |
| Mean | \$114,100 |  |  | \$77,600 |  |  | \$67,100 |  |  | \$91,700 |  |  |
| note: Salaries of faculty on 12-month contracts are adjusted to an academic year basis using a $9 / 11$ factor, unless specified otherwise by the reporting institution. source: Annual AAUP Faculty Salary Surveys as exchanged via the AAU Data Exchange (AAUDE). |  |  |  |  |  |  |  |  |  |  |  |  |

Table 3


Table 4


Table 5
UNIVERSITY OF IOWA
FACULTY SALARIES AS A PERCENT OF PEER AVERAGE FY 2005


Table 6
IOWA STATE UNIVERSITY
Faculty Salaries as a Percent of Peer Average - FY 2005


## Table 7

Average Faculty Salaries by Academic Rank, FY2005 (MD or equivalent degree holders) University of Iowa and All AAMC Public and Private Medical Schools by Regional Group (Peers Adjusted to SUI Rank Distribution)

|  |  | Profes |  | Ass | ciate P | fessor | Ass | tant P | ssor | Three | Ranks | mbined |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Institution | Average Salary | $\begin{gathered} \text { SUI } \\ \mathrm{N} \end{gathered}$ | Salary Ranking | Average Salary | $\begin{gathered} \text { SUI } \\ \mathrm{N} \end{gathered}$ | Salary Ranking | Average Salary | $\begin{gathered} \text { SUI } \\ \mathrm{N} \end{gathered}$ | Salary Ranking | Average Salary | $\begin{gathered} \text { SUI } \\ \mathrm{N} \end{gathered}$ | Salary Ranking |
| Midwest | 254,000 | 2,693 | 1 | 226,500 | 2,998 | 1 | 194,600 | 5,716 | 1 | 217,000 | 11,407 | 1 |
| Northeastern Region | 248,800 | 3,151 | 2 | 222,900 | 3,949 | 2 | 180,800 | 7,819 | 2 | 206,300 | 14,919 | 2 |
| South | 238,600 | 3,152 | 3 | 208,300 | 3,730 | 3 | 172,300 | 6,421 | 3 | 198,100 | 13,303 | 3 |
| West | 234,600 | 2,254 | 4 | 200,000 | 2,008 | 4 | 167,800 | 2,990 | 4 | 197,500 | 7,252 | 4 |
| University of lowa * | 226,200 | 210 | 5 | 183,800 | 162 | 5 | 161,000 | 192 | 5 | 191,800 | 564 | 5 |
|  |  | 2,292 = mean \# fac |  |  | 2,569 = mean \# fac |  |  | 4,628 = mean \# fac |  | \$0 | 9,489 = mean \# fac |  |
| Mean ** | \$244,300 |  |  | \$215,800 |  |  | \$180,200 |  |  | \$205,200 |  |  |

[^0]
[^0]:    * University of lowa MD salary averages are the FY06 salary after January 1 faculty vitality increases have been applied. MD's who hold executive leadership roles (Dean, Associate Dean, Department Heads) were excluded from this analysis.
    ** Mean doesn't include University of lowa MD's.

