

## Smith, Dianne [BOARD]

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**From:** Tuttle, Ilene [BOARD]  
**Sent:** Friday, August 06, 2010 10:32 AM  
**To:** Campbell, Bonnie J.; Downer, Robert; Evans, Jack B.; Gartner, Michael; Harkin, Ruth; Johnson, Greta A; Lang, Craig; Miles, David; Vasquez, Rose  
**Cc:** 'Donley, Robert [BOARD]'; Smith, Dianne [BOARD]; Doyle, Sheila [BOARD]; Gradert, Marilea; Novak, Kristin; Zarkowski, Jana  
**Subject:** Gifts and grants report attached  
**Attachments:** bor080610.pdf

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**Governing Iowa's public  
universities and special schools**

University of Iowa  
Iowa State University  
University of Northern Iowa  
Iowa School for the Deaf  
Iowa Braille and Sight Saving School  
Lakeside Lab Regents Resource Center  
Quad-Cities Graduate Center  
Southwest Iowa Regents Resource Center  
Tri-State Graduate Center



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STATE OF IOWA

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Robert Donley, *Executive Director*

MEMORANDUM

TO: Board of Regents  
FROM: Bob Donley *BD 8/5/10*  
SUBJECT: Report on Gifts, Grants, and Contracts to Regent Institutions  
DATE: August 5, 2010

The enclosed table summarizes gifts, grants, and contracts received by the institutions during FY 2010. The awards received by the Regent institutions during this period total \$896.4 million, an increase of \$121.0 million (15.6%) from \$775.4 million received in FY 2009.

Receipts from gift, grant, and contract funding for FY 2010 were record highs at the University of Iowa and Iowa State University. Enclosed are news releases from the Regent universities. Fluctuations in sponsored funding from year to year result primarily from the timing and receipt of annual awards as well as one-time funding of awards.

jaf\H:\BF\Gifts and Grants\bor080210.doc  
Enclosures

Board of Regents, State of Iowa  
Summary of Gifts, Grants, and Contracts for FY 2010

SOURCE

	University of Iowa	Iowa State University	University of Northern Iowa	Iowa School for the Deaf	Iowa Braille & Sight Saving School	Total
<u>Federal</u>						
Dept. of Agriculture	\$ 267,915	\$ 51,883,266	\$ 769,397	\$ 53,117	-	\$ 52,973,695
Dept. of Commerce	127,924	3,122,887	553,763	-	-	3,804,574
Dept. of Defense	8,248,179	10,945,023	6,725,702	-	-	25,918,904
Dept. of Education	22,353,036	28,662,828	14,154,914	172,470	-	65,343,248
Dept. of Energy	6,643,458	59,267,985	312,020	-	-	66,223,463
Dept. of Health and Human Services	240,456,206	20,533,625	249,904	-	-	261,239,735
Dept. of Interior	6,000	388,796	-	-	-	394,796
Environmental Protection Agency	394,970	245,462	-	-	-	640,432
NASA	9,612,769	1,529,047	2,375,708	-	-	13,517,524
Natl. Science Foundation	13,987,931	46,797,648	852,630	-	-	61,638,209
Other	8,643,834	13,618,090	714,000	-	-	22,975,924
<b>Total Federal</b>	<b>\$310,742,222</b>	<b>\$236,994,657</b>	<b>\$26,708,038</b>	<b>\$225,587</b>	<b>-</b>	<b>\$574,670,504</b>
<u>Non-Federal</u>						
State and Local Government*	60,990,005	35,139,824	8,867,331	-	566,730	105,563,890
Business and Corporations	30,822,049	38,681,411	209,083	-	-	69,712,543
Foundations and Associations	32,468,991	55,899,785	5,107,184	12,644	3,061	93,491,665
Individuals	10,905	120,800	-	-	16,085	147,790
Other	31,459,332	21,351,114	-	-	-	52,810,446
<b>Total Non-Federal</b>	<b>\$155,751,282</b>	<b>\$151,192,934</b>	<b>\$14,183,598</b>	<b>\$12,644</b>	<b>\$585,876</b>	<b>\$321,726,334</b>
<b>Grand Total</b>	<b>\$466,493,504</b>	<b>\$388,187,591</b>	<b>\$40,891,636</b>	<b>\$238,231</b>	<b>\$585,876</b>	<b>\$896,396,838</b>
<u>PURPOSE</u>						
Academic Support/Misc.	\$ 36,243,879	\$ 35,120,586	\$ 6,505,275	\$ 225,587	\$ 585,876	\$ 78,681,203
Building Funds/Plant Operations	-	12,463,822	2,403,151	-	-	14,866,973
Equipment	3,886,942	4,587,856	-	-	-	8,474,798
Fellowship and Training Grants	29,811,120	31,723,711	-	-	-	61,534,831
Public Service	5,134,740	39,155,896	16,004,817	12,644	-	60,308,097
Research	376,016,838	239,157,046	3,852,432	-	-	619,026,316
Student Financial Aid	15,399,985	25,978,674	12,125,961	-	-	53,504,620
<b>Grand Total 2009-10</b>	<b>\$466,493,504</b>	<b>\$388,187,591</b>	<b>\$40,891,636</b>	<b>\$238,231</b>	<b>\$585,876</b>	<b>\$896,396,838</b>
Grand Total 2008-09	\$429,525,084	\$305,229,205	\$39,754,021	\$196,125	\$728,770	\$775,433,205
Grand Total 2007-08	\$386,239,549	\$274,137,234	\$45,767,243	\$198,807	\$583,613	\$706,926,446
Grand Total 2006-07	\$382,192,016	\$271,953,957	\$37,101,835	\$181,268	\$668,747	\$692,097,823
Grand Total 2005-06	\$366,355,637	\$279,723,639	\$27,003,729	\$242,718	\$519,068	\$673,844,791
Grand Total 2004-05	\$359,598,876	\$286,930,895	\$33,071,632	\$215,280	\$630,778	\$680,447,461
Grand Total 2003-04	\$333,932,472	\$274,217,384	\$32,583,354	\$212,421	\$559,787	\$641,505,418
Grand Total 2002-03	\$352,782,578	\$230,386,288	\$23,851,768	\$213,636	\$917,136	\$608,151,406
Grand Total 2001-02	\$340,998,616	\$225,375,290	\$31,801,521	\$204,705	\$541,418	\$598,921,550
Grand Total 2000-01	\$277,949,292	\$217,676,274	\$26,403,019	\$187,502	\$464,991	\$522,681,078
Grand Total 1999-00	\$252,574,841	\$211,175,671	\$25,405,962	\$182,236	\$585,239	\$489,923,949
<b>Inc./Dec. FY 2010 over FY 2009</b>	<b>\$ 36,968,420</b>	<b>\$ 82,958,386</b>	<b>\$ 1,137,615</b>	<b>\$ 42,106</b>	<b>\$ (142,894)</b>	<b>\$120,963,633</b>
<b>Inc./Dec. FY 2010 over FY 2008</b>	<b>8.61%</b>	<b>27.18%</b>	<b>2.86%</b>	<b>21.47%</b>	<b>-19.61%</b>	<b>15.60%</b>

\* May include federal funds passed through other governments.

University of Iowa News Release

July 20, 2010

### **UI external support jumps 9 percent to record \$466.5 million**

University of Iowa faculty generated impressive growth in extramural support in fiscal year 2010. Total external funding achieved -- yet another all-time record -- is \$466.5 million, which represents an impressive 9 percent increase over 2009 and continues a trend of increases that has almost doubled annual award dollars within a decade.

[[Click here](#) to listen to an audio recording of the news conference where external support details were announced this morning.]



Jordan Cohen, interim vice president for research and economic development, observed: "This extraordinary continuing success, in the face of budget constraints and increasing demands on the entire institution, is a tribute to the creativity and innovative ideas of our faculty. It was facilitated by extraordinary support from staff and students within the colleges and departments, as well as throughout the entire Office of the Vice President for Research."

The total for fiscal 2010, the 12-month period ending June 30, 2010, exceeded one-third of a billion dollars for the ninth consecutive year. Since 1967, when overall records were first kept, the university has attracted \$7.1 billion in total external support. This outstanding productivity continues to place the University of Iowa among the nation's elite public research universities and is especially significant in the face of challenging economic conditions in Iowa and the serious impact of the flood of 2008.

According to the most recent National Science Foundation survey (2008), the UI is ranked 20th among public universities in terms of federally financed expenditures for research and development. From the National Institutes of Health (NIH) 2009 award data, the UI ranks 13th in NIH awards among all public universities.

The major up-tick in funding includes more than \$40 million in American Recovery and Reinvestment Act (ARRA) funding.

"The American Recovery and Reinvestment Act provided an extraordinary opportunity for faculty to compete for higher risk research funding aimed at advancing health and science and helping stimulate the economic recovery. Our faculty competed very effectively for these funds, garnering 165 ARRA grants, including 11 prestigious NIH Challenge Grants," Cohen said.

Derek Willard, special assistant to the president for governmental relations and associate vice president for research, thanked the Iowa Congressional delegation for their continuing support of the university's efforts to secure disaster relief and credited them for ensuring that federal support would be available to make this research possible. "Advances in science and engineering made possible through federal funding diversify Iowa's economic portfolio while having a direct impact on the health and well-being of its citizens," he said.

UI President Sally Mason said, "This remarkable streak of record-breaking external support demonstrates how the talented members of the University of Iowa continue to pursue discovery and knowledge with both great skill and passion. Our success in nearly doubling our grants and contracts in the past 10 years shows how, even as we faced significant challenges in the past two years, the UI is an institution that achieves its aspirations of excellence and increasing impact on the lives of people across our state, as well as throughout the nation and world."

Especially significant were the increases seen from many of UI's major funding agencies relative to fiscal year 2009. These included:

--Department of Health & Human Services (includes \$219 million from NIH): \$240.5 million (up 11.7 percent).

--National Science Foundation: \$14 million (up 12 percent).

--NASA: \$9.6 million (up 4.6 percent).

--Department of Education: \$22.4 million (up 19.1 percent).

--Department of Defense: \$8.2 million (down 8.9 percent).

--States: \$61 million (up 27 percent).

--Industry: \$30.8 million (down 19.7 percent).

--Private Organizations: \$32.5 million (down 19.1 percent).

Twila Reighley, director of the UI Division of Sponsored Programs, noted that fiscal year 2010 applications were down compared to fiscal 2009, which is attributable to the record 2009 year applications for federal stimulus funding. Compared to 2008, grant applications in fiscal year 2010 increased by 25 percent. "We are fortunate to have strong faculty, staff and students pursuing external resources to generate knowledge and discoveries and improve employment in Iowa," Reighley said.

STORY SOURCE: University of Iowa News Services, 300 Plaza Centre One, Suite 371, Iowa City, Iowa 52242-2500

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07-14-10

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## Iowa State's external funding hits record \$388.2 million in fiscal year 2010

AMES, Iowa - Iowa State University attracted a record \$388.2 million in grants, contracts, gifts and cooperative agreements during fiscal year 2010, an increase of \$83 million and 27 percent over last year's record.

This funding is in addition to the fiscal year 2010 state appropriation of \$231 million for the university's day-to-day operations. Of the \$388.2 million in external funding, \$21.1 million was the result of competitive awards from the American Recovery and Reinvestment Act.

Iowa State's previous record for external funding was \$305.2 million for the fiscal year that ended June 30, 2009.

"A second straight record in external support is truly an indication of the great work of Iowa State University's faculty, staff and students," said Iowa State University President Gregory Geoffroy. "This demonstrates that Iowa State has outstanding people who can successfully compete for the funds that support world-class research and scholarship. This success also demonstrates the exceptional capabilities of Iowa State for the transformational research and creativity that is required to meet the challenges of the 21st century."

The external funding supports research across campus as well as educational projects, student financial aid, buildings, equipment and extension activities. It comes directly to Iowa State from federal, state and local government sources as well as from corporations, foundations and other universities.

Iowa State's largest single source of external funding is the U.S. Department of Energy. Iowa State attracted \$59.3 million from the energy department this past fiscal year. The second highest source was the U.S. Department of Agriculture at \$51.9 million. The third highest was the National Science Foundation at \$46.8 million.

Of the \$388.2 million total, \$239.2 million was directed to research projects based on proposals submitted by Iowa State faculty and scientific staff.

"The significant increase in external funding this year is reflective of quality faculty, staff, and students and the competitiveness of their programs," said Sharron Quisenberry, Iowa State's vice president for research and economic development. "The research enterprise is extremely important to the university's future success, and faculty, staff, and students are key to our ability to grow research programs that are competitive for extramural funding."

### Sources

Iowa State's external funding came from the following sources during the fiscal year that ended June 30:

#### Federal

- Energy, \$59,267,985
- Agriculture, \$ 51,883,266
- National Science Foundation, \$46,797,648
- Education, \$28,662,828
- Health and Human Services, \$20,533,625
- Defense, \$10,945,023
- Transportation, \$7,986,419
- Commerce, \$3,122,887
- NASA, \$1,529,047
- Interior, \$388,796
- Environmental Protection Agency, \$245,462
- Other, \$5,631,671

**Total Federal \$236,994,657**

#### Non-Federal

- ISU Foundation, \$42,471,075
- Businesses/Corporations/Commodities, \$38,681,411
- State, County and City Government, \$35,139,824
- Universities and Colleges, \$17,757,528
- External Foundations and Associations, \$13,428,710
- Individuals, \$120,800
- Other, \$3,593,586

**Total Non-Federal \$151,192,934**

**Grand Total \$388,187,591**

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**IOWA STATE UNIVERSITY**  
*Becoming the best.*

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